# FIN 426 Seminar in Financial Planning-NewCrs-2017-01-30

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Form Information

**The page you originally access is the global template version.  To access the template document that progresses through the workflow, please complete the following steps:**

**First Step**: **ONLY** change the text in the [brackets] so it looks like this: **CRIM 101 Intro to Criminology-NewCrs-2015-08-10**

- ***If DUAL LISTED list BOTH courses in the page title***

**Second Step:**  Click **"SAVE"** on bottom right

- ***DO NOT TYPE ANYTHING INTO THE FIRST PAGE OTHER THAN THE TEXT IN BRACKETS***
- ***Please be sure to remove the Brackets while renaming the page***

**Third Step:** Make sure the word ***DRAFT*** is in yellow at the top of the proposal

**Fourth Step**: Click on "**EDIT CONTENTS**" (not EDIT) and start completing the template.  When exiting or when done, click **"SAVE"** on bottom right

When ready to submit click on the workflow icon and hit approve.  It will then move to the chair as the next step in the workflow.
FIN 4XX A Capstone Financial Plan Development Course

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| **Proposer*** | Dr. Namrata Saikia | **Proposer Email*** | snamrata@iup.edu |
| **Contact Person*** | Dr. Namrata Saikia | **Contact Email*** | snamrata@iup.edu |
| **Proposing Department/Unit*** | Finance and Legal Studies | **Contact Phone*** | 817-564-3305 |

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| **(A) Course Prefix*** | *See the Registrar's List of Unavailable Course Numbers at http://www.iup.edu/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=129323*<br><br>FIN |
| **(B) Course Number*** | *If Dual Listed, enter both course numbers*<br><br>426 |
| **(C) Course Title*** | Seminar in Financial Planning |
| **(D) Course Level*** | undergraduate-level |
| **(E) Cross Listed*** | *Dual Listed = Courses listed at two levels, such as undergraduate and graduate, masters and doctoral, etc. Cross Listed = Course has more than one prefix such as GEOG/RGPL 233*<br><br>NO<br><br>If YES, with: |
| **(F) Variable Credit*** | NO<br><br>If YES, enter the number of credits: |
| **(G) Variable Title*** | NO<br><br>If YES, enter the title(s): |
| **(H) Number of Credits*** | Class Hours:3<br><br>Lab Hours:0<br><br>Credits:3 |

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| **(I) Repeatable Course*** | NO<br><br>If YES, please complete the following:<br>Number of Credits that May be Repeated:<br><br>Maximum Number of Credits Allowed to be Repeated: |
| **(J) Prerequisite (s)** | FIN 300, 320, 324, 400 |
| **(K) Co-requisite(s)** | *This means that another course must be taken in the same semester as the proposed course* |
| **(L) Additional Information** | *Check all that apply. Note: Additional documentation will be required*<br>** Teacher Education: Please complete the Teacher Education section of this form (below)*<br>** Liberal Studies: Please complete the Liberal Studies section of this form (below)*<br>** Distance Education: Please complete the Distance Education section of this form (below)* |
| **(M) Recommended Class Size** | Number (Enter Zero if No):<br>If YES: (Check one of the following reasons and provide a narrative explanation)<br>Explain (required): |
| **(N) Catalog Description*** | *Guidelines: Do not include pre/co-requisite information here. The registrar prefers a concise description of course content, beginning with an active verb.*<br>Integrates coursework in various personal finance areas with actual case applications. |
| **(O) Student Learning Outcomes*** | *These should be measurable, appropriate to the course level, and phrased in terms of student achievement, not instructional or content outcomes*<br>*If dual listed, indicate additional learning objectives for the higher level course.*<br>Upon completion of the course, the student should be able to:<br>1) Demonstrate a comprehensive understanding of the content covered within the personal finance curriculum;<br>2) Prepare reports which identify the strengths and weaknesses of various cases related to personal finance plans;<br>3) Gather information required to formulate a client's personal finance plan in the light of economic, regulatory, and political issues;<br>4) Effectively communicate the plan, both orally and in writing, to the prospective client;<br>5) Apply the Certified Financial Planner Board Financial Planning Standards to the personal finance process. |

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| **(P) Brief Course Outline***<br><br>For Each Outcome Describe<br><br>How the Outcome Will<br><br>Be Achieved | *Give an outline of sufficient detail to communicate the course content to faculty across campus. It is not necessary to include specific readings, calendar, or assignments*<br><br>*As outlined by the federal definition of a "credit hour", the following should be a consideration regarding student work - For every one hour of classroom or*<br><br>*direct faculty instruction, there should be a minimum of two hours of out of class student work.*<br><br>This capstone course is designed to teach students the practical application of theoretical knowledge acquired in various courses under the personal finance curriculum. This will be achieved through in-class lectures, interactive discussions, analysis of case studies (individually and in groups), student presentations, and lectures by invited speakers. It is the intent of this course to cover as many topics (specified by the CFP Board) – the same are listed below.<br><br>Students will work on these cases individually and in groups to assess situations often faced by a certified financial planner. They will receive an opportunity to research, and intelligently analyze different cases while being cognizant of the code of ethics and guidelines in the personal finance area. Group discussions and student presentations will promote collaborative and interactive learning, hone their communication skills, and essentially provide students with a hands-on experience on how to interact with various parties and synthesize information/suggestions from different sources.<br><br>Students will be required to provide the instructor with written versions of their analyses to ensure they receive adequate training in professional writing. Grading rubrics will be provided.<br><br>Further, this course will offer students exposure to questions of ethical dilemmas and compliance issues through lectures by invited speakers with years of professional experience in personal finance.<br><br>The goal of this entire exercise is to develop student competence in formulating a comprehensive personal finance plan and effectively communicating same to the party concerned.<br><br>The topics to be covered using case studies include:<br><br>• Fundamentals of Financial Planning<br>• Insurance Planning and Risk Management<br>• Investment Planning<br>• Income Tax Planning<br>• Retirement Planning<br>• Estate Planning |

| **Rationale for Proposal** | |
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| **(Q) Why is this Course Being Proposed?*** | The personal finance track addresses a full range of topics within wealth management. This capstone course serves to integrate academic coursework and to equip students with skills necessary to apply theories and techniques to practical situations. This course will introduce students mainly through the case study technique to the different facets of the personal finance process in a non-liability environment. Students who successfully complete this course will find it helpful to sit for the CFP examination. |
| **(R) University Senate Summary of Rationale** | *Please enter a single paragraph summary/rationale of changes or proposal for University Senate.*<br><br>FIN 426 Seminar in Financial Planning is the capstone course for the personal finance track. This course will be useful to individuals who intend to appear for the CFP examination. This program is expected to eventually graduate into a CFP Board Registered Program in Personal Financial Planning. |
| **(S) How Does it Fit into the Departmental Curriculum?*** | *Check all that apply*<br><br>Free Elective<br>Other<br><br>If Other, please explain:<br><br>This capstone class will be a required course for students on the personal finance track. Students who do not opt for this track can take it as a free elective. However, it would be beneficial for students to take this course after they have completed the earlier courses specified under this track. |

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| **(T) Is a Similar Class Offered in Other Departments? *** | NO<br><br>Please Provide Comment: |
| **(U)Does it Serve the College /University Above and Beyond the Role it Serves in the Department?*** | NO<br><br>Please Provide Comment: |
| **(V) Who is the Target Audience for the Course?*** | Other<br><br>If Other, please explain:<br><br>Finance major students who wish to obtain the certification for financial planning (CFP). |
| **(W) Implications for Other Departments*** | A. What are the implications for other departments?<br>(For Example: overlap of content with other disciplines, requirements for other programs)<br><br>Students in other departments may wish to enroll in this course as a free elective. This course should prove beneficial for students who have completed the remaining courses listed under the personal finance track.<br><br>B. How have you addressed this with other department(s) involved? What was the outcome of that attempt?<br><br>Not Applicable |
| **(X) Attach Supporting Documents for Implications, if Necessary** | **File** **Modified** |
| **(Y) Are the Resources Adequate?*** | *(i.e. faculty, space, equipment, laboratory supplies, library materials, travel funds, etc.)*<br>YES<br><br>Please Provide Comment: |

## Distance Education Section

*- Complete this section only if adding Distance Education to a New or Existing Course*

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| **If Completing this Section,**<br><br>**Check the Box to the Right:** | **NOTE: you must check this box if the Course has previously been approved for Distance Education** |
| **Course Prefix/Number** | |
| **Course Title** | |
| **Type of Proposal** | *See CBA, Art. 42.D.1 for Definition* |
| **Brief Course Outline** | *Give an outline of sufficient detail to communicate the course content to faculty across campus. It is not necessary to include specific readings, calendar or assignments*<br><br>*As outlined by the federal definition of a "credit hour", the following should be a consideration regarding student work - For every one hour of classroom or*<br><br>*direct faculty instruction, there should be a minimum of two hours of out of class student work.* |
| **Rationale for Proposal (Required Questions from CBA)** | |
| **How is/are the instructor(s) qualified**<br><br>**in the Distance Education delivery**<br><br>**method as well as the discipline?** | |
| **For each outcome in the course, describe**<br><br>**how the outcome will be achieved using**<br><br>**Distance Education technologies.** | |
| **How will the instructor-student and**<br><br>**student-student interaction take place?**<br><br>**(if applicable)** | |
| **How will student achievement be evaluated?** | |
| **How will academic honesty for tests**<br><br>**and assignments be addressed?** | |

## Liberal Studies Section

*- Complete this section only for a new Liberal Studies course or Liberal Studies course revision*

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| **If Completing this Section,**<br><br>**Check the Box to the Right:** | **NOTE: you must check this box if the Course/Program has previously been approved for Liberal Studies** |

| Liberal Studies Course Designations (Check all that apply) | |
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| **Learning Skills:** | |
| **Knowledge Area:** | |
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| **Liberal Studies Elective** | *Please mark the designation(s) that apply - must meet at least one* |
| **Expected Undergraduate Student Learning Outcomes (EUSLOs)** | *Describe how each Student Learning Outcome in the course enables students to become Informed Learners, Empowered Learners and/or Responsible Learners*<br>*See http://www.iup.edu/WorkArea/DownloadAsset.aspx?id=181694* |
| **Description of the Required Content for this Category** | *Narrative on how the course will address the Selected Category Content* |
| **All Liberal Studies courses are required to include perspectives on cultures and have a supplemental reading.**<br>**Please answer the following questions.** | |
| **Liberal Studies courses must include the perspectives and contributions of ethnic and racial minorities and of women whenever appropriate to the subject matter. Please explain how this course will meet this criterion.** | |
| **Liberal Studies courses require the reading and use by students of at least one non-textbook work of fiction or non-fiction or a collection of related articles. Please describe how your course will meet this criterion.** | |

## Teacher Education Section

*- Complete this section only for a new Teacher Education course or Teacher Education course revision*

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| **If Completing this Section,**<br><br>**Check the Box to the Right:** | **NOTE: you must check this box if the Course/Program has previously been approved for Teacher Education related items** |
| **Course Designations:** | |
| **Key Assessments** | |
| | For both new and revised courses, please attach (see the program education coordinator):<br><br>• The Overall Program Assessment Matrix<br>• The Key Assessment Guidelines<br>• The Key Assessment Rubric<br><br>**File** **Modified**<br><br>No files shared here yet.<br>• Drag and drop to upload or browse for files |
| **Narrative Description of the**<br><br>**Required Content** | *How the proposal relates to the Education Major* |

Please scroll to the top and click the Page Status if you are ready to take action on the workflow.
Please submit an ihelp if you have any questions http://ihelp.iup.edu